

<b>REPORT TO:</b>	<b>GENERAL PURPOSES AND AUDIT COMMITTEE</b> <b>7 December 2016</b>
<b>AGENDA ITEM:</b>	<b>11</b>
<b>SUBJECT:</b>	<b>Corporate Risk Register</b>
<b>LEAD OFFICER:</b>	<b>Executive Director Resources &amp; S151 Officer</b>
<b>CABINET MEMBER</b>	<b>Councillor Simon Hall, Cabinet Member for Finance and Treasury</b>
<b>WARDS:</b>	<b>All</b>
<b>CORPORATE PRIORITY/POLICY CONTEXT:</b>	
<p>This report presents the corporate risk register as at December 2016 as part of the General Purposes and Audit Committee's role of overseeing the risk management framework and receiving assurance that significant corporate (Red) risks are identified and mitigated by the organisation. This process will ensure that the risk management function will continue to contribute to the achievement of the Council's vision, key priorities and objectives.</p> <p>In line with the Council's commitment to openness and transparency, the corporate risk report will appear in Part A of the agenda unless there is specific justification for any individual entries being considered under Part B (set out under Paragraph 3 of Schedule 12A of the Local Government Act 1972 as amended).</p>	
<b>FINANCIAL SUMMARY: No additional direct financial implications.</b>	
<b>FORWARD PLAN KEY DECISION REFERENCE NO.: N/A</b>	

## **1.1 RECOMMENDATIONS**

The Committee is asked to note the contents of the corporate risk register as at December 2016

## **2. EXECUTIVE SUMMARY**

- 2.1 The report updates the General Purposes & Audit Committee Members on the corporate risk register (the register) as at December 2016.

### **3. DETAIL**

#### **Risk Register Report**

- 3.1 The register presented details all the current corporate risks rated at a total risk score of 20 and above (Red Risks).
- 3.2 Since the register was last considered by Members, the following risks have been escalated
- CFLCS0034: Changes in funding for the Dedicated Schools Grant and Education Services Grant.
  - CFLSCF0015: Risk of rise in unaccompanied asylum seekers due to current circumstances around unaccompanied asylum seekers coming across from camps in France.
  - DASHPD0044: Care market management risk that additional winter demand could affect already pressured market capacity for Adult Social Care providers.

No risks have been de-escalated since the report was last considered by Members

- 3.3 In line with the Council's commitment to openness and transparency, the register will appear with the corporate risk report in Part A of the agenda unless, in accordance with the Access to Information Procedure Rules in the Council's Constitution there is specific justification for any individual entries being considered under Part B (set out under Paragraph 3 of Schedule 12A of the Local Government Act 1972 as amended).
- 3.4 It should be noted that some of the grounds for exemption from public access are absolute. However, for others such as that in para.3, 'Information relating to the financial or business affairs of any particular person (including the authority holding that information)', deciding in which part of the agenda they will appear, is subject to the further test of whether, in all the circumstances of the case, the public interest in maintaining the exemption outweighs the public interest in disclosing the information.

### **4. FINANCIAL CONSIDERATIONS**

- 4.1 There are no additional financial considerations arising from this report.

(Approved by Lisa Taylor – Assistant Director of Finance & Deputy S151 Officer)

### **5. COMMENTS OF THE COUNCIL SOLICITOR AND MONITORING OFFICER**

- 5.1 The Council Solicitor advises that there are no additional legal considerations arising from this report.

(Approved by: Jacqueline Harris-Baker Head of Social Care & Education Law on behalf of Council Solicitor & Monitoring Officer)

**6. HUMAN RESOURCES IMPACT**

6.1 There are no additional Human Resources implications arising from this report.

(Approved by Jason Singh, Interim HR Business Partner on behalf of Heather Daley, Head of HR Consultancy)

**7. EQUALITIES, ENVIRONMENTAL AND CRIME AND DISORDER REDUCTION IMPACTS**

7.1 None

**8. RISK ASSESSMENT**

8.1 No further risk issues other than those detailed in the report.

**9. FREEDOM OF INFORMATION/DATA PROTECTION CONSIDERATIONS**

9.1 Information contained in the Council's Risk register or held in relation to the Council's risk management procedures may be accessible under the Freedom of Information Act subject to the application of any relevant exemptions, such as commercial sensitivity and whether disclosure was in the 'public interest'.

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**BACKGROUND DOCUMENTS:** Appendix 1 Corporate Risk Register